

Unified Improvement Plan (UIP): Summary of Statute, Rule and Policy Guidance References 2020-21



This document provides a listing of the state, federal and grant requirement met through the Unified Improvement Planning (UIP) process. References are summarized by state and then federal expectations. For full text of references, please visit the [Official Publication of the State Administrative Rules](#). If you have additional questions, contact Kirby Stein (stein_k@cde.state.co.us).

Note: On March 18, [the Governor issued an Executive Order](#) pausing both state assessments and state accountability to enable schools and districts to focus on providing alternative learning opportunities for students during this time. The accountability pause was later codified by the legislature through the [Finance Act \(HB 20-1418\)](#). The 2020-21 UIP process will resume with the typical timeline (e.g., January 15, April 15), except for those districts using the 2019 flexibility which will submit in October.

State-Related Planning Requirements

Category	References	Summary
State Planning Requirements (Education Accountability Act)		
Colorado Statute and Board Rule	§22-11-208 1 CCR 301-1	<ul style="list-style-type: none"> ▪ Publish Adopted UIP on SchoolView ▪ Combined UIP flexibility for Districts Less than 1000
Colorado Statute and Board Rule	§22-11-210 1 CCR 301-1	<ul style="list-style-type: none"> ▪ Combined UIP for Districts Less than 1000 ▪ Combined UIP for Districts between 1000-1200 (at CDE’s discretion) ▪ Biennial Submission for Districts/Schools on Performance ▪ Describing End of Clock Actions for schools on Year 4
Colorado Statute and Board Rule	§22-11-302 1 CCR 301-1	<ul style="list-style-type: none"> ▪ District Accountability Committee duties related to UIP

Category	References	Summary
Colorado Statute and Board Rule	§22-11-303 1 CCR 301-1	<ul style="list-style-type: none"> ▪ UIP requirements for Districts (or CSI) accredited with Performance Plan, including: <ul style="list-style-type: none"> ○ Targets ○ READ Act ○ Trends (both positive and negative) ○ Early Childhood Needs Assessment and Priorities ○ Research-based Strategies ○ Resources needed for implementation (local, state, and federal) ○ Root Causes ○ Progress Monitoring of student performance and of implementation ▪ UIP available to public upon request ▪ Biennial submission allowance as long as District is Accredited or Accredited with Distinction
Colorado Statute and Board Rule	§22-11-304 1 CCR 301-1	<ul style="list-style-type: none"> ▪ UIP requirements for Districts (or CSI) accredited with Improvement Plan, including: <ul style="list-style-type: none"> ○ Targets ○ READ Act ○ Trends (both positive and negative) ○ Early Childhood Needs Assessment and Priorities ○ Research-based Strategies ○ Resources needed for implementation (local, state, and federal) ○ Root Causes ○ Progress Monitoring of student performance and of implementation ▪ UIP available to public upon request

Category	References	Summary
Colorado Statute and Board Rule	§22-11-305 1 CCR 301-1	<ul style="list-style-type: none"> ▪ UIP requirements for Districts (or CSI) accredited with Priority Improvement Plan, including: <ul style="list-style-type: none"> ○ Targets ○ READ Act ○ Trends (both positive and negative) ○ Early Childhood Needs Assessment and Priorities ○ Research-based Strategies ○ Resources needed for implementation (local, state and federal) ○ Root Causes ○ Progress Monitoring of Student Performance and of Implementation ▪ State Review Panel UIP review and recommended UIP modifications (as recommended by Commissioner) ▪ UIP available to public upon request ▪ Early Childhood Needs Assessment Requirements
Colorado Statute and Board Rule	§22-11-306 1 CCR 301-1	<ul style="list-style-type: none"> ▪ UIP requirements for Districts (or CSI) accredited with Turnaround Plan, including: <ul style="list-style-type: none"> ○ Targets ○ READ Act ○ Trends (both positive and negative) ○ Early Childhood Needs Assessment and Priorities ○ Research-based Strategies, including explicitly choosing one or more specific to Turnaround ○ Resources needed for implementation (local, state and federal) ○ Root Causes ○ Progress Monitoring of student performance and of implementation ▪ State Review Panel UIP review and recommended UIP modifications (as recommended by Commissioner) ▪ UIP available to public upon request
Colorado Statute and Board Rule	§22-11-307 1 CCR 301-1	<ul style="list-style-type: none"> ▪ Adoption and Implementation of UIP ▪ School Closure Plan requirements (CDE recommends this be included as a strategy within the UIP if the district does not require a specific format)

Category	References	Summary
Colorado Statute and Board Rule	§22-11-402 1 CCR 301-1	<ul style="list-style-type: none"> ▪ School Accountability Committee Requirements related to UIP: <ul style="list-style-type: none"> ○ Advise Principal on UIP if school is assigned to a Performance or Improvement Plans ○ Advise School Board on UIP if school is assigned to a Priority Improvement or Turnaround Plan ○ Meet quarterly to discussion implementation of UIP ○ Meet publicly to discuss and review written Priority Improvement or Turnaround UIP
Colorado Statute and Board Rule	§22-11-403 1 CCR 301-1	<ul style="list-style-type: none"> ▪ UIP requirements for schools assigned to Performance Plan, including: <ul style="list-style-type: none"> ○ Targets ○ READ Act ○ Trends (both positive and negative) ○ Early Childhood Needs Assessment and Priorities ○ Research-based Strategies ○ Resources needed for implementation (local, state and federal) ○ Root Causes ○ Progress Monitoring of student performance and of implementation ▪ UIP available to public upon request ▪ Biennial submission allowance as long as school is assigned to Performance Plan

Category	References	Summary
Colorado Statute and Board Rule	§22-11-404 1 CCR 301-1	<ul style="list-style-type: none"> ▪ UIP requirements for schools assigned to Improvement Plan, including: <ul style="list-style-type: none"> ○ Targets ○ READ Act ○ Trends (both positive and negative) ○ Early Childhood Needs Assessment and Priorities ○ Research-based Strategies ○ Resources needed for implementation (local, state and federal) ○ Root Causes ○ Progress Monitoring of student performance and of implementation ▪ UIP available to public upon request
Colorado Statute and Board Rule	§22-11-405 1 CCR 301-1	<ul style="list-style-type: none"> ▪ UIP requirements for schools assigned to Priority Improvement Plan, including: <ul style="list-style-type: none"> ○ Targets ○ READ Act ○ Trends (both positive and negative) ○ Early Childhood Needs Assessment and Priorities ○ Research-based Strategies ○ Resources needed for implementation (local, state and federal) ○ Parent Engagement Strategies ○ Root Causes ○ Progress Monitoring of student performance and of implementation ▪ SAC requirements related to writing and adopting a Priority Improvement UIP ▪ State Review Panel UIP review and recommended UIP modifications (as recommended by Commissioner) ▪ UIP available to public upon request ▪ Early Childhood Needs Assessment Requirements

Category	References	Summary
Colorado Statute and Board Rule	§22-11-406 1 CCR 301-1	<ul style="list-style-type: none"> ▪ UIP requirements for schools assigned to a Turnaround Plan, including: <ul style="list-style-type: none"> ○ Targets ○ READ Act ○ Trends (both positive and negative) ○ Early Childhood Needs Assessment and Priorities ○ Research-based Strategies, including explicitly choosing one or more specific to Turnaround ○ Resources needed for implementation (local, state and federal) ○ Parent Engagement Strategies ○ Root Causes ○ Progress Monitoring of student performance and of implementation ▪ State Review Panel UIP review and recommended UIP modifications (as recommended by Commissioner) ▪ UIP available to public upon request

Category	References	Summary
State Program and Grant Requirements		
Colorado Statute and Board Rule	§22-7-1201 et seq 1 CCR 301-92	<p style="text-align: center;">READ Act</p> <p>Colorado READ Act – both schools and districts must incorporate READ Act strategies within their respective UIPs. While requirements are set forth in the State Accountability statues outlined by plan type, the full READ Act is codified in Colorado law.</p> <ul style="list-style-type: none"> • Beginning in the 2020-21 school year, districts must report K-3 literacy core and supplemental programming and assessments, as well as intervention services and support. • Districts must also report professional development plans that are funded via READ Act and/or Early Literacy Grant money. This reporting should be done within the Major Improvement Strategies and/or action planning sections of the UIP.
Colorado Statute and Board Rule	§22-20-202 §22-20-204 1 CCR 301-8	<p style="text-align: center;">Gifted Education</p> <p>All districts are required to address the Exceptional Children’s Education Act (ECEA) accountability requirements within the UIP. The below UIP elements should be addressed utilizing one of four options outlined in this UIP for Gifted Education guidance document.</p> <ul style="list-style-type: none"> ▪ Review progress on previous UIP targets; ▪ Analyze and report current gifted trend data in order to identify a common performance challenge of gifted students; ▪ Define a major improvement strategy that includes an action plan and a timeline for steps that will have a positive impact on gifted student performance; and ▪ Develop a gifted education target and describe how progress will be monitored. <p>Addressing these elements fulfills the obligations for accountability as defined within ECEA for the Gifted Education Annual Plan.</p>
Board Rule	SB 19-199 1 CCR 301-90	<p style="text-align: center;">Early Literacy Grant Program</p> <p>Beginning in 2019, grantees will be expected to document grant activities in the UIP and aligned with general READ Act requirements.</p>

Category	References	Summary
Senate Bill Colorado Statute	SB19-204 §22-11-703(3) §22-11-704(2)	<p style="text-align: center;">Local Accountability System Grant Program</p> <p>This competitive grant program allows local education providers to apply for state funds to adopt local accountability systems to supplement the state accountability system. The program allows for an alternative format to the UIP as long as key planning elements (priority performance challenges, root causes, and major improvement strategies) are included.</p> <p>Pertinent requirements include:</p> <ul style="list-style-type: none"> ▪ A plan provided in an alternative format must take into account the data collected through the local accountability system and any associated improvement efforts that the local education provider implements. ▪ A plan provided in an alternative format must meet the state and federal reporting requirements, as identified by the department, that apply to the plan types implemented pursuant to this article 11. ▪ If a local education provider uses an alternative format, a public school operated by the local education provider may also use an alternative format for the type of plan that the public school is required to implement, which format is compatible with that used by the local education provider. ▪ A local education provider that uses an alternative plan format shall submit the plan in accordance with the deadlines established by the state board for performance, improvement, priority improvement, and turnaround plans, including submitting the plan on a biennial basis for a local education provider that is a school district and accredited or accredited with distinction or a local education provider that is a charter school and required to implement a performance plan. ▪ The department shall publish a local education provider’s alternatively formatted plan on the data portal and provide public access to the plan.

Category	References	Summary
House Bill Colorado Statute	HB18-1355 22-13-101	<p style="text-align: center;">School Improvement</p> <p>For grantees receiving school improvement funds through the school Transformation grant, the Unified Improvement plan will be used to monitor use of funds, such as integration of evaluation, identification of system needs, action planning and progress monitoring.</p> <p>For more information on school improvement application, funds, and monitoring, see https://www.cde.state.co.us/fedprograms/easiapplication</p> <p>For more detail related to ESSA plan requirements in Colorado, consult the UIP School Quality Criteria- (PDF).</p>

Category	Reference	Summary
Federal Program Planning Requirements		
Federal Statute	§1111(d)(1)	<p style="text-align: center;">Every Student Succeeds Act (ESSA) – Comprehensive Support and Improvement Schools</p> <p>Schools identified under ESSA for Comprehensive Support and Improvement (CS) must incorporate federal accountability requirements into their UIP. Requirements include:</p> <ul style="list-style-type: none"> ▪ Stakeholder Consultation ▪ Disaggregated Groups ▪ Evidence-Based Interventions ▪ Based on a School-Levels Needs Assessment ▪ Identifies Resource Inequities ▪ Approved by the school, LEA and SEA ▪ Periodically Monitored by the SEA <p>For more detail related to ESSA plan requirements in Colorado, consult the UIP School Quality Criteria- (PDF).</p>
Federal Statute	§1111(d)(2)	<p style="text-align: center;">Every Student Succeeds Act – Targeted Support and Improvement Schools</p> <p>Schools identified under ESSA for Targeted Support and Improvement (TS) must incorporate federal accountability requirements into their UIP. Requirements include:</p> <ul style="list-style-type: none"> ▪ Stakeholder Consultation ▪ Disaggregated Groups ▪ Evidence-Based Interventions ▪ Approved by the LEA ▪ Periodically Monitored by the SEA <p>For more detail related to ESSA plan requirements in Colorado, consult the UIP School Quality Criteria- (PDF).</p>

Category	Reference	Summary
Federal Statute	§1114(b)	<p style="text-align: center;">Schoolwide</p> <p>For schools approved to operate a schoolwide program, they may document their planning requirements within the school UIP. The plan should:</p> <ul style="list-style-type: none"> • Be created and implemented with a variety of stakeholders, including parents • Include a monitoring and revision process • Be accessible to the public, including in different languages • Coordinated with other federal, state and local programs • Based upon a comprehensive needs assessment • Provide strategies that meet the identified needs
Federal Statute	§4201 - §4206	<p style="text-align: center;">21st Century Community Learning Centers</p> <p>For grantees receiving 21st CCLC funds to implement an expanded learning program, the following requirements must be met within the UIP:</p> <ul style="list-style-type: none"> ▪ Describes how school leadership periodically meet with 21st CCLC and out-of-school time staff. ▪ Demonstrates that school conducted a data analysis about how to meet the needs of its students through 21st CCLC activities. ▪ Action steps specific to 21st CCLC program activities that align to school’s overall action plan. ▪ Action steps specific to 21st CCLC program family engagement and learning strategies that align with the school’s action plan. ▪ Action steps focused on 21st Century Learning Skills (e.g., STEM, Literacy) and provides a description about how 21st CCLC out-of-school program activities support and align with the action steps.

Category	Reference	Summary
Federal Statute	§1003	<p style="text-align: center;">School Improvement</p> <p>For grantees receiving school improvement funds through the Empowering Action for School Improvement (EASI) grant, the Unified Improvement plan will be used to monitor use of funds, such as integration of evaluation, identification of system needs, action planning and progress monitoring.</p> <p>For more information on school improvement application, funds, and monitoring, see https://www.cde.state.co.us/fedprograms/easiapplication</p> <p>For more detail related to ESSA plan requirements in Colorado, consult the UIP School Quality Criteria- (PDF).</p> <p>.</p>

Program Requirements On Hold or Considering UIP Alignment

- Student Course Taking and Performance Analysis
- Student Graduation and Completion Plan
- Colorado Counselor Corps Grant
- Special Education